Luca Biferale · Edriss S. Titi

# On the global regularity of a helical-decimated version of the 3D Navier-Stokes equations

Received: March 2,2013 / Accepted: date

Abstract We study the global regularity, for all time and all initial data in  $H^{1/2}$ , of a recently introduced decimated version of the incompressible 3D Navier-Stokes (dNS) equations. The model is based on a projection of the dynamical evolution of Navier-Stokes (NS) equations into the subspace where helicity (the  $L^2$ -scalar product of velocity and vorticity) is sign-definite. The presence of a second (beside energy) sign-definite inviscid conserved quadratic quantity, which is equivalent to the  $H^{1/2}$ -Sobolev norm, allows us to demonstrate global existence and uniqueness, of space-periodic solutions, together with continuity with respect to the initial conditions, for this decimated 3D model. This is achieved thanks to the establishment of two new estimates, for this 3D model, which show that the  $H^{1/2}$  and the time average of the square of the  $H^{3/2}$  norms of the velocity field remain finite. Such two additional bounds are known, in the spirit of the work of H. Fujita and T. Kato [19,22], to be sufficient for showing well-posedness for the 3D NS equations. Furthermore, they are directly linked to the helicity evolution for the dNS model, and therefore with a clear physical meaning and consequences.

**Keywords** Navier-Stokes equations  $\cdot$  global regularity of decimated helical Navier-Stokes equations  $\cdot$  helicity projection

E.S. Titi

L. Biferale

Dept. of Physics and INFN, University of Rome 'Tor Vergata', Rome, Italy E-mail: biferale@roma2.infn.it

Department of Computer Science and Applied Mathematics, Weizmann Institute of Science, Rehovot 76100, Israel. Also Departments of Mathematics and of Mechanical and Aerospace Engineering, University of California, Irvine, CA 92697,USA. E-mail: etiti@math.uci.edu & E-mail: edriss.titi@weizmann.ac.il

### 1 Introduction

The problem of whether the three-dimensional incompressible Navier-Stokes equations possess global regular and unique solutions is one of the most challenging unsolved problems in applied analysis [13, 14, 24, 26]. In the twodimensional case, global existence and uniqueness of weak and strong solutions is a well-established result; and in three dimensions, it is possible to show existence of weak solutions, globally in times, but we do not know how to establish their uniqueness for general initial conditions (see, e.g., [13, 14, 24] and references therein). Due to the notorious difficulties to attack the original problem in its whole generality, many sufficient conditions and criteria have been provided for establishing global regularity (for the most recent development in this regard see, e.g., [2,8,9,15,21,23,29] and references therein). Moreover, it may also be important and instructive to start from a *decimated* version of it, constraining the evolution of the Navier-Stokes velocity field in sub-manifolds with some given physical and dynamical properties. For example, it is well-known that viscous flows with certain physical symmetries, such as axi-symmetric flows [25] and helical flows [27], have global regularity despite the fact that they have nontrivial 3D vortex stretching terms. Two new examples have recently appeared in the literature. In the first case [18], the evolution of the Navier-Stokes equations is projected on a Fractal-Fourier space. In the second case [4], the velocity field is first exactly decomposed for each Fourier mode in two components, carrying positive and negative helicity respectively, and then evolved by keeping only one of the two, such as to make also the helicity invariant sign-definite (i.e. positive). Both approaches have been proposed in order to understand key phenomenological properties of turbulence as a function of its total number of degrees-of-freedom (for the Fractal-Fourier decimation) or as a function of the physical character of the non-linear interactions (for the helical-Fourier decimation; this is to distinguish from the above mentioned flows with physical helical symmetry [16, 27). Clearly, it is tempting to ask also questions concerning regularities of their solutions. By investigating this model we hope to learn about possible crucial physical features of the "long sought" singular solutions of the original Navier-Stokes equations that are eventually absent in our decimated version and in models with physical spatial symmetries. A similar approach has recently been proposed in [20] where the existence of global regularity for solutions of a modified Navier-Stokes equation has been proven. Let us notice however, that at difference from the previous cases, the equations studied in [20] cannot be seen as a *decimation* of the original Navier-Stokes case.

In this paper we will concentrate on the regularity properties of the Navier-Stokes equations under helical-Fourier decimation only. Without loss of generality, we will study the case where the projection is made into the sub-manifold where helicity is positive-definite. It is worth mentioning that in this situation the positive-definite helicity is equivalent to the square of the  $H^{1/2}$ -Sobolev norm.

In such a case, we are able to show that the dNS system possesses global unique solutions which depend continuously on the initial data. Such a result is obtained thanks to two additional estimates available only when the dynamics is projected on a manifold with a sign-definite helicity. From a phenomenological physical point of view, this dNS equations also show a *nontypical* inverse-energy cascade, i.e. when forced on an intermediate range in Fourier space, energy tends to go to larger and larger scales and helicity is transported toward small-scales [4]. This is the so-called split-cascades regime typical of 2D Navier-Stokes flows - where enstrophy flux replaces the helicity (which is a positive-definite quantity here) flux. Such a phenomenology has also been observed in real 3D Navier-Stokes equations under fast rotation and with helical forcing [28,31].

The article is organized as follows. In section (2) we review the main properties of the helical-decimated equations. In sec. (3) we briefly discuss the existence of weak solutions to the dNS system following the usual construction based on the Galerkin approximation procedure. In secs. (5-4) we establish two additional global uniform bounds on the velocity field, for initial data with finite positive helicity, by exploiting the constraints from the inviscid conservation of helicity. Furthermore, we use these additional estimates to prove the uniqueness of the more regular solutions of the dNS system, i.e. solutions with initial data of finite positive helicity. Conclusions and a brief discussion on further perspectives can be found in sec. (6).

#### 2 The helical-decimated Navier-Stokes equations

The starting point of our analysis is the well-known helical-Fourier decomposition [32] of the velocity field  $\boldsymbol{v}(\boldsymbol{x},t)$ , expanded in Fourier series,  $\boldsymbol{u}(\boldsymbol{k},t)$ . Here we consider spatially periodic flows with zero spatial mean; consequently the zero wavenumber Fourier mode  $\boldsymbol{u}(\boldsymbol{0},t) = \boldsymbol{0}$ . Being divergence-free, each velocity component in Fourier space has only two degrees of freedom. The idea is to define the two independent degrees of freedom by a projections on two orthonormal vectors, each one bringing a definite sign of helicity:

$$u(k,t) = u^{+}(k,t)h^{+}(k) + u^{-}(k,t)h^{-}(k)$$
(1)

where  $h^{\pm}(\mathbf{k})$  are the eigenvectors of the curl operator  $i\mathbf{k} \times h^{\pm}(\mathbf{k}) = \pm kh^{\pm}(\mathbf{k})$ . In particular, one can always choose  $h^{\pm}(\mathbf{k}) = \hat{\mu}(\mathbf{k}) \times \hat{\mathbf{k}} \pm i\hat{\mu}$ , where  $\hat{\mu}$  is an arbitrary unit vector orthogonal to  $\mathbf{k}$  which satisfies the relation  $\hat{\mu}(\mathbf{k}) = -\hat{\mu}(-\mathbf{k})$  (necessary to ensure the reality of the velocity field). Such requirement is satisfied, e.g., by the choice  $\hat{\mu}(\mathbf{k}) = \mathbf{z} \times \mathbf{k}/||\mathbf{z} \times \mathbf{k}||$ , with  $\mathbf{z}$  an arbitrary vector. We then have for energy,  $E(t) = \int d^3x |\mathbf{v}(\mathbf{x}, t)|^2$ , and helicity,  $\mathcal{H}(t) = \int d^3x \, \mathbf{v}(\mathbf{x}, t) \cdot \boldsymbol{\omega}(\mathbf{x}, t)$  (here  $\boldsymbol{\omega}(\mathbf{x}, t) = \nabla \times \mathbf{v}(\mathbf{x}, t)$  is the vorticity):

$$\begin{cases} E(t) = \sum_{k} |u^{+}(k,t)|^{2} + |u^{-}(k,t)|^{2}; \\ \mathcal{H}(t) = \sum_{k} k(|u^{+}(k,t)|^{2} - |u^{-}(k,t)|^{2}). \end{cases}$$
(2)

The non-linear term of the NS equations can be exactly decomposed in 4 independent classes of triadic interactions, determined by the helical content of the complex amplitudes,  $u^{s_k}(\mathbf{k})$  with  $s_k = \pm$  (see [32] and Fig. 1). Among three generic interacting modes  $u^{s_k}(\mathbf{k}), u^{s_p}(\mathbf{p}), u^{s_q}(\mathbf{q})$ , one can identify 8 different helical combinations  $(s_k = \pm, s_p = \pm, s_q = \pm)$ . Among them, only



Fig. 1 Under helical decomposition the three wavenumbers interaction of the nonlinear NS equations are decomposed in four classes, depending on the relative helicity signs. In [32] a simple dynamical argument is given supporting the fact that triads of classes (III) and (IV) mainly transfer energy toward small scales (high wave-numbers), i.e. they have the usual direct cascade, triads of class (I) enjoys an inverse energy cascade, while class (II) is mixed. In the figure this is summarized by red arrows denoting a backward energy transfer and by blue arrows for forward energy transfer. In [4] a direct numerical integration at high resolution of the dNS with only triads of class (I) showed that a stationary turbulent inverse energy cascade is indeed established.

four are independent because of the symmetry that allows to change all signs of helicity simultaneously.

Therefore, the Navier-Stokes equations in the helical-Fourier basis are [32]:

$$(\partial_t + \nu k^2)\overline{u}^{s_k}(\boldsymbol{k}, t) =$$

$$-\frac{1}{4} \sum_{\boldsymbol{k}+\boldsymbol{p}+\boldsymbol{q}=0} \sum_{s_p, s_q} (s_p p - s_q q) \left[ \boldsymbol{h}^{s_p}(\boldsymbol{p}) \times \boldsymbol{h}^{s_q}(\boldsymbol{q}) \cdot \boldsymbol{h}^{s_k}(\boldsymbol{k}) \right] u^{s_p}(\boldsymbol{p}, t) u^{s_q}(\boldsymbol{q}, t) + \overline{f}^{s_k}(\boldsymbol{k})$$
(3)

where  $\nu$  is the viscosity,  $f^{s_k}(\mathbf{k})$ , the external forcing and where  $\overline{\cdot}$  stands for complex conjugate. It is important to remark that the non-linear dynamics formally preserves both energy and helicity, triad-by-triad, i.e.  $\partial_t(|u^{s_p}|^2 + |u^{s_q}|^2 + |u^{s_k}|^2) = \partial_t(s_p p |u^{s_p}|^2 + s_q q |u^{s_q}|^2 + s_k k |u^{s_k}|^2) = 0$ . As a result, the evolution for helicity "formally" becomes:

$$\frac{d}{dt}\mathcal{H}(t) = -\nu \sum_{\mathbf{k}} k^3 (|u^+(\mathbf{k},t)|^2 - |u^-(\mathbf{k},t)|^2) + 2Re \sum_{\mathbf{k}} k(u^+(\mathbf{k},t)\overline{f}^+(\mathbf{k}) - u^-(\mathbf{k},t)\overline{f}^-(\mathbf{k})).$$
(4)

Among the 4 possible different choices of helicity signs interacting in the nonlinear term we will concentrate here on what happens when only one class is present. In particular, we will ask what happens when only interactions having the same sign of helicity for all the three wavenumber in each triad, i.e. helicity becomes sign-definite. In order to do that we define the projector on positive/negative helicity states as

$$\mathcal{P}^{\pm}(\boldsymbol{k}) \equiv \frac{\boldsymbol{h}^{\pm}(\boldsymbol{k}) \otimes \boldsymbol{h}^{\pm}(\boldsymbol{k})}{\boldsymbol{h}^{\pm}(\boldsymbol{k}) \cdot \boldsymbol{h}^{\pm}(\boldsymbol{k})}.$$
(5)

The projector is self-adjoint and commutes with derivatives. The action of this projector on a field in real space is defined via its Fourier decomposition, for example for projection on positive helicity we have:

$$\boldsymbol{v}^{+}(\boldsymbol{x},t) \equiv \mathcal{P}^{+}\boldsymbol{v}(\boldsymbol{x},t) \equiv \sum_{\boldsymbol{k}} e^{i\boldsymbol{k}\cdot\boldsymbol{x}}\mathcal{P}^{+}(\boldsymbol{k})\boldsymbol{u}(\boldsymbol{k},t) \equiv \sum_{\boldsymbol{k}} e^{i\boldsymbol{k}\cdot\boldsymbol{x}}u^{+}(\boldsymbol{k},t)\boldsymbol{h}^{+}(\boldsymbol{k}).$$
(6)

We can then consider the dynamical evolution of the dNS:

$$\begin{cases} \partial_t \boldsymbol{v}^+ = \mathcal{P}^+(-\boldsymbol{v}^+ \cdot \boldsymbol{\nabla} \boldsymbol{v}^+ - \boldsymbol{\nabla} p^+) + \nu \Delta \boldsymbol{v}^+ + \boldsymbol{f}^+ \\ \nabla \cdot \boldsymbol{v}^+ = 0 \end{cases}$$
(7)

where p is the pressure and  $f^+$  is the external forcing, here taken for simplicity time independent and with zero mean such as we can restrict the analysis of the solutions of (7) in the space where  $\int v^+ dx = 0$ . Following the tradition we denote by  $\dot{X}$  the linear subspace of all functions in X that have spatial mean zero. Notice, that it is easy to realize that if the initial velocity configuration is chosen with only positive helicity components, i.e.  $v^-(\cdot, t = 0) = 0$ , the dynamical evolution of (7) preserves this property for all times.

## 3 Global existence of weak solutions with initial data in $\dot{L}^2$

The notion of weak solution for the dNS system (7) is similar to that of the 3D NS equations [13,24]. Specifically, for a given initial data  $\boldsymbol{v}^+(\cdot,t=0) = \boldsymbol{v}_0^+(\cdot) \in \dot{L}^2$ , a weak solution of (7) in the interval [0,T] is a divergence-free vector field  $\boldsymbol{v}^+(\boldsymbol{x},t)$ , which belongs to  $C_{\text{weak}}([0,T],\dot{L}^2) \bigcap L^2([0,T],\dot{H}^1)$ , and for which  $\partial_t \boldsymbol{v}^+ \in L^{5/4}([0,T],\dot{H}^{-1})$ ; such that the first equation of (7) holds in  $L^{5/4}([0,T],\dot{H}^{-1})$ . It is not difficult to prove the existence of weak solution for the dNS. It is enough to proceed as for the standard NS case, introducing a Galerkin projection on all wavenumbers smaller than a given value, N:

$$\boldsymbol{v}_N(\boldsymbol{x},t) = \mathcal{P}_N \boldsymbol{v}(\boldsymbol{x},t) = \sum_{|\boldsymbol{k}| < N} e^{i\boldsymbol{k}\cdot\boldsymbol{x}} \boldsymbol{u}(\boldsymbol{k},t), \qquad (8)$$

and then controlling the limit of the Galerkin approximates for  $N \to \infty$ . The only additional detail consists in checking that the Galerkin projector,  $\mathcal{P}_N$  commutes with the helical-Fourier projector  $\mathcal{P}^+$ , which is of course the case. We can then define a Galerkin-helical-Fourier projected field, evolving according to the finite system of ordinary differential equations:

$$\begin{cases} \partial_t \boldsymbol{v}_N^+ = \mathcal{P}^+ \mathcal{P}_N(-\boldsymbol{v}_N^+ \cdot \boldsymbol{\nabla} \boldsymbol{v}_N^+ - \boldsymbol{\nabla} p_N^+) + \nu \Delta \boldsymbol{v}_N^+ + \boldsymbol{f}_N^+ \\ \nabla \cdot \boldsymbol{v}_N^+ = 0. \end{cases} \tag{9}$$

Since the nonlinearity in the above ODE system is quadratic it has a short time existence and uniqueness solution for all initial data. As in the NS equations case one can show that the  $L^2$ -norm of the solutions to the above system remain bounded and hence it has global existence and uniqueness. Following the same steps as for the standard original NS case [13,14] starting from any finite N and then passing to the limit, modulo a subsequence  $N_j \rightarrow \infty$ , using the relevant compactness theorem of Aubin [13].

## 4 More regular solutions with initial data in $\dot{H}^{1/2}$

In this section, we are going to use the fact that for the inviscid and unforced dNS (9) system the helicity is formally conserved, and that it is positivedefinite quadratic quantity, which is equivalent to the square of the  $\dot{H}^{1/2}$ -Sobolev norm. Therefore, obtaining uniform (in time) bounds on the helicity enables us to prove the existence of solutions with a higher degree of regularity, provided the initial data is in  $\dot{H}^{1/2}$ . Furthermore, this additional regularity will allow us to prove, in the next section, the uniqueness of these regular solutions within the class of weak solutions. Let us observe that all the estimates that follow are formal, but can be rigorously justified by obtaining them first for the corresponding solutions of the Galerkin approximating system (9), and then passing to the limit, modulo subsequences, with  $N \to \infty$ . Furthermore, it is worth mentioning that similar ideas and estimates can be found in [19,22] in the study of short time existence and uniqueness of the three-dimensional NS equations with initial data in  $H^{1/2}$ . The advantage of system (7) over the NS equations is that the  $H^{1/2}$  remains finite, which allows to extend the short time existence argument to prove global regularity for all time and all initial data in  $H^{1/2}$ . Indeed, the fact that helicity is a (positive-definite) inviscid invariant of (7) can be readily verified, formally, by its evolution written in terms of the Fourier components (4), which after decimation reads:

$$\frac{1}{2}\frac{d}{dt}\sum_{k}k|u^{+}(k,t)|^{2} + \nu\sum_{k}k^{3}|u^{+}(k,t)|^{2} = Re\sum_{k}ku^{+}(k,t)\overline{f}^{+}(k), \quad (10)$$

where  $k = |\mathbf{k}|$ , and  $u^+(\mathbf{k}, t) = \mathcal{P}^+(\mathbf{k})u(\mathbf{k}, t)$ . Furthermore, the right-hand side (RHS) of (10) can be bounded by:

$$RHS \le \sum_{\boldsymbol{k}} |k^{\frac{3}{2}} u^{+}(\boldsymbol{k}, t)| |f^{+}(\boldsymbol{k}) k^{-\frac{1}{2}}| \le (\sum_{\boldsymbol{k}} k^{3} |u^{+}(\boldsymbol{k}, t)|^{2})^{\frac{1}{2}} (\sum_{\boldsymbol{k}} |f^{+}(\boldsymbol{k})|^{2} k^{-1})^{\frac{1}{2}},$$
(11)

where the last step is obtained by using Cauchy-Schwarz inequality. By multiplying and dividing by  $\sqrt{\nu}$  and using Young's inequality we can further bound it by:

$$(\nu \sum_{\boldsymbol{k}} k^3 |u^+(\boldsymbol{k}, t)|^2)^{\frac{1}{2}} (\sum_{\boldsymbol{k}} |f^+(\boldsymbol{k})|^2 k^{-1} \nu^{-1})^{\frac{1}{2}} \le$$
(12)

$$\frac{\nu}{2} \sum_{k} k^{3} |u^{+}(k,t)|^{2} + \frac{1}{2\nu} \sum_{k} |f^{+}(k)|^{2} k^{-1}.$$
 (13)

Plugging back the above estimate in (10) we get:

$$\frac{1}{2}\frac{d}{dt}\sum_{k}k|u^{+}(k,t)|^{2} + \frac{\nu}{2}\sum_{k}k^{3}|u^{+}(k,t)|^{2} \le \frac{1}{2\nu}\sum_{k}|f^{+}(k)|^{2}k^{-1}.$$
 (14)

Observe that for every  $\phi \in \dot{H}^s$  (i.e.  $\phi$  belongs to the Sobolev space  $H^s$  of periodic functions with zero average,  $\int \phi(x) dx = 0$ ) the  $H^s$ -Sobolev norm, for  $s \ge 0$ , is equivalently defined by

$$||\phi||_{H^s} = (\sum_{\mathbf{k}} k^{2s} |\phi(k)|^2)^{1/2}.$$
(15)

Thanks to the above observation we can rewrite (14) in terms of the  $H^{\frac{1}{2}}$  and  $H^{\frac{3}{2}}$  norms as:

$$\frac{1}{2}\frac{d}{dt}||v^{+}||_{H^{\frac{1}{2}}}^{2} + \frac{\nu}{2}||v^{+}||_{H^{\frac{3}{2}}}^{2} \le \frac{1}{2\nu}\sum_{\boldsymbol{k}}|f^{+}(\boldsymbol{k})|^{2}k^{-1}.$$
(16)

Expression (16) can be rewritten in its equivalent integral form as:

$$||v^{+}(t)||_{H^{\frac{1}{2}}}^{2} + \int_{0}^{t} dt' \frac{\nu}{2} ||v^{+}(t')||_{H^{\frac{3}{2}}}^{2} \leq ||v^{+}(0)||_{H^{\frac{1}{2}}}^{2} + \int_{0}^{t} dt' \frac{1}{2\nu} \sum_{k} |f^{+}(k,t')|^{2} k^{-1}.$$
(17)

The above inequality implies that there is a weak solution of the dNS (7) which satisfies:

$$v^+ \in L^{\infty}([0,T]; \dot{H}^{\frac{1}{2}}); \qquad v^+ \in L^2([0,T]; \dot{H}^{\frac{3}{2}}),$$
 (18)

provided that  $\mathbf{f} \in L^2([0,T]; H^{-\frac{1}{2}})$  and  $\mathbf{v}^+(0) \in \dot{H}^{\frac{1}{2}}$ . In the next section we will show that this more regular solution, that satisfies (18), is unique within the class of weak solutions.

### 5 Uniqueness of more regular solution

In order to prove the uniqueness of the more regular solutions with initial data in  $\dot{H}^{1/2}$ , within the class of weak solutions, let us first rewrite the dNS equations (7) in a symbolic form:

$$\partial_t \boldsymbol{v}^+ - \nu \Delta \boldsymbol{v}^+ = -\boldsymbol{B}_{\mathcal{H}}(\boldsymbol{v}^+, \boldsymbol{v}^+) + \boldsymbol{f}^+$$
(19)

where  $B_{\mathcal{H}}(a, b) = \mathcal{P}^+[(a \nabla) b - \Delta^{-1} \nabla \nabla a b)]$ 

Below, we give a formal proof of uniqueness which can be made fully rigorous by working with the integrated -in time- version of (20) and then following exactly the same argument as in Serrin [30] (see also [1]). It is worth mentioning that similar ideas and estimates can be found in [19,22] in the study of short time well-posedness of three-dimensional NS equations with  $H^{1/2}$ initial data. Let us begin by considering two different weak solutions,  $v_1^+$  and  $v_2^+$ , with the same initial data in  $\dot{H}^{1/2}$ , and where  $v_1^+$  satisfies (18). Their difference,  $w^+ = v_1^+ - v_2^+$ , satisfies the evolution equation:

$$\partial_t \boldsymbol{w}^+ - \nu \Delta \boldsymbol{w}^+ = -\boldsymbol{B}_{\mathcal{H}}(\boldsymbol{v}_1^+, \boldsymbol{w}^+) - \boldsymbol{B}_{\mathcal{H}}(\boldsymbol{w}^+, \boldsymbol{v}_1^+) + \boldsymbol{B}_{\mathcal{H}}(\boldsymbol{w}^+, \boldsymbol{w}^+).$$
(20)

Next, we study the time evolution of  $||w^+||_{L^2}^2 = \int d^3x |w^+|^2$ , by formally multiplying (20) and integrating over the spatial domain. Note that  $\mathcal{P}^+$  is a self-adjoint operator, and that  $\int d^3x (\mathcal{P}^+a^+) \cdot \mathbf{b}^+ = \int d^3x \, \mathbf{a}^+ \cdot \mathcal{P}^+ \mathbf{b}^+ = \int d^3x \, \mathbf{a}^+ \cdot \mathbf{b}^+$ . By incompressibility, and after integration by parts and using the periodic boundary conditions, only the second term in the RHS of (20) survives and we formally obtain:

$$\frac{1}{2}\frac{d}{dt}||w^+||_{L^2}^2 + \nu||\nabla w^+||_{L^2}^2 = -\int d^3x [(w^+ \cdot \nabla)v_1^+] \cdot w^+.$$
(21)

The RHS of (21) can be further integrated by part, using once again the incompressibility and the periodic boundary conditions, to get  $\int d^3x [(\boldsymbol{w}^+ \cdot \boldsymbol{\nabla})\boldsymbol{v}_1^+] \cdot \boldsymbol{w}^+ = -\int d^3x [(\boldsymbol{w}^+ \cdot \boldsymbol{\nabla})\boldsymbol{w}^+] \cdot \boldsymbol{v}_1^+$ ; and by applying Hölder's inequality one obtains the following bound:

$$|\int d^{3}x[(\boldsymbol{w}^{+}\cdot\boldsymbol{\nabla})\,\boldsymbol{w}^{+}]\cdot\boldsymbol{v}_{1}^{+}| \leq ||\boldsymbol{w}^{+}||_{L^{3}}||\boldsymbol{\nabla}\boldsymbol{w}^{+}||_{L^{2}}||\boldsymbol{v}_{1}^{+}||_{L^{6}}$$
(22)

Applying the three-dimensional Sobolev embedding inequalities:

$$||\cdot||_{L^3} \le const. ||\cdot||_{H^{1/2}} \quad ||\cdot||_{L^6} \le const. ||\cdot||_{H^1}$$

to (22) we obtain:

$$\left|\int d^{3}x[(\boldsymbol{w}^{+}\cdot\boldsymbol{\nabla})\,\boldsymbol{w}^{+}]\cdot\boldsymbol{v}_{1}^{+}\right| \leq const.||\boldsymbol{w}^{+}||_{H^{\frac{1}{2}}}||\boldsymbol{\nabla}\boldsymbol{w}^{+}||_{L^{2}}||\boldsymbol{v}_{1}^{+}||_{H^{1}}.$$
 (23)

We now use the interpolation inequality  $||\cdot||_{H^s} \leq ||\cdot||_{H^{s_1}}^{\theta} ||\cdot||_{H^{s_2}}^{(1-\theta)}$ , with  $s = \theta s_1 + (1-\theta)s_2$  with  $\theta = 1/2, s_1 = 0, s_2 = 1$  to get:

$$||w^{+}||_{H^{\frac{1}{2}}} \leq ||w^{+}||_{H^{0}}^{\frac{1}{2}}||w^{+}||_{H^{1}}^{\frac{1}{2}} = ||w^{+}||_{L^{2}}^{\frac{1}{2}}||\nabla w^{+}||_{L^{2}}^{\frac{1}{2}};$$
(24)

and with  $\theta = 1/2, s_1 = 1/2, s_2 = 3/2$  to obtain:

$$||v_1^+||_{H^1} \le ||v_1^+||_{H^{\frac{1}{2}}}^{\frac{1}{2}} ||v_1^+||_{H^{\frac{3}{2}}}^{\frac{1}{2}}.$$
(25)

Putting all together we can bound the LHS of (22) as:

$$\leq const. ||\nabla w^+||_{L^2}^{\frac{3}{2}} ||w^+||_{L^2}^{\frac{1}{2}} ||v_1^+||_{H^{\frac{1}{2}}}^{\frac{1}{2}} ||v_1^+||_{H^{\frac{3}{2}}}^{\frac{1}{2}}.$$
(26)

Let us now multiply and divide by  $\nu^{\frac{3}{4}}$ :

$$\leq const. [\nu^{\frac{3}{4}} ||\nabla w^{+}||_{L^{2}}^{\frac{3}{2}}] \frac{||w^{+}||_{L^{2}}^{\frac{1}{2}} ||v_{1}^{+}||_{H^{\frac{1}{2}}}^{\frac{1}{2}} ||v_{1}^{+}||_{H^{\frac{3}{2}}}^{\frac{1}{2}}}{\nu^{3/4}}; \qquad (27)$$

and apply Young's inequality with the weights 3/4 and 1/4:

$$\leq \frac{3}{4}\nu||\nabla w^{+}||_{L^{2}}^{2}] + const. \frac{||w^{+}||_{L^{2}}^{2}||v_{1}^{+}||_{H^{\frac{1}{2}}}^{2}||v_{1}^{+}||_{H^{\frac{3}{2}}}^{2}}{\nu^{3}}.$$
 (28)

Going back to equation (21) we can then bring the first term of the above relation on the LHS and get:

$$\frac{1}{2}\frac{d}{dt}||w^+||_{L^2}^2 + \frac{1}{4}\nu||\nabla w^+||_{L^2}^2 \le K\frac{||w^+||_{L^2}^2||v_1^+||_{H^{\frac{3}{2}}}^2}{\nu^3},\tag{29}$$

where we have used the assumption that  $v_1^+ \in L^{\infty}([0,T]; \dot{H}^{\frac{1}{2}})$ , for every T > 0, since it satisfies (18). By virtue of Gronwall's inequality we finally arrive to:

$$||w^{+}(t)||_{L^{2}}^{2} \leq ||w^{+}(0)||_{L^{2}}^{2} \exp\left(K\nu^{-3}\int_{0}^{t} dt'||v_{1}^{+}(t')||_{H^{\frac{3}{2}}}^{2}\right)$$
(30)

which proves uniqueness of the solution thanks to the fact that we have  $v_1^+ \in L^2([0,T]; \dot{H}^{\frac{3}{2}})$  (since it satisfies (18). The above also implies the Lipschitz continuity with respect to the initial data due to the  $||w^+(0)||_{L^2}^2$  prefactor.

#### 6 Conclusions and further perspectives

Inviscid invariants of the NS equations are crucial in determining the physics of turbulence [17]. In 2D turbulence the presence of two positive-definite quadratic quantities, energy and enstrophy, leads to a split cascade: energy flows toward large scales and enstrophy to small scales [5, 6, 12]. The fluid equations possess two inviscid invariants also in 3D: energy and helicity. At variance with energy, helicity is in general not sign-definite, and therefore it is not a 'coercitive' quantity. In principle, this allows for a simultaneous forward transfer of energy and helicity, as confirmed by the results of two-point closures [7,32] and direct numerical simulations [10,11,28]. Nevertheless, a reversal of the flux of energy has been observed in geophysical flows subject to Earth's rotation [28,31] if the forcing is chiral, injecting a non-vanishing helicity in the system. For fast rotating turbulence in the inverse energy cascade regime, helicity is observed to still flow toward small scales: we have a split-cascade scenario as for the energy-enstrophy case of 2D turbulence. Further, the link between the existence of intermittent burst in the energy cascade and a "local" helicity blocking mechanism has been proposed [3].

In this paper we have shown that helicity plays also a very peculiar role in the determining the 'regularity' properties of the velocity field. If the dynamics is restricted to the sub-set of modes with a well definite sign of helicity (i.e. positive) then the flow admits unique global weak solutions that depend continuously on the initial data. In our system the vortex stretching mechanism does not vanish, even if it is certainly depleted because of the local 'Beltrami' condition imposed at each wave number by our decimation rule. Such a result leads to speculation that possible 'singular' solution of the original NS equations should then be searched elsewhere, i.e. in the three classes of non-linear interactions connecting triads with different helicity components which are killed in our decimated system.

Acknowledgements L.B. would like to thank U. Frisch for stimulating the interest on the problem and V. Zheligovsky for a very careful reading of the manuscript. L.B. also acknowledges the useful discussions with C. Doering, U. Frisch, A. Porretta and V. Sverak. The work of E.S.T. is supported in part by the NSF grants no. DMS-1009950, DMS-1109640, and DMS-1109645. E.S.T. also acknowledges the support of the Minerva Stiftung/Foundation.

#### References

- 1. C. Bardos, M.C.L. Filho, D. Niu, H.J.N. Lopes and E.S. Titi "Stability of twodimensional viscous incompressible flows under three-dimensional perturbations and inviscid symmetry breaking" arXiv:1201.2742v2 (2012). 2. L. C. Berselli and G. Galdi. "Regularity criteria involving the pressure for the
- weak solutions to the Navier-Stokes equations" Proc. Amer. Math. Soc. 130 3583 (2002)
- 3. L. Biferale. "Shell models of energy cascade in turbulence" Ann. Rev. Fluid Mech. 35 441 (2003).
- 4. L. Biferale, S. Musacchio and F. Toschi. Phys. Rev. "Inverse energy cascade in three-dimensional isotropic turbulence" Lett. 108 164501 (2012)
- 5. G. Boffetta & R.E. Ecke. "Two-dimensional turbulence" Annu. Rev. Fluid Mech. 44, 427 (2012);
- 6. G. Boffetta and S. Musacchio. "Evidence for the double cascade scenario in two-dimensional turbulence" Phys. Rev. E 82 016307 (2010).
- 7. A. Brissaud, U. Frisch, J. Leorat, M. Lesieur and M. Mazuré. "Helicity cascades in fully developed isotropic turbulence" Phys. Fluids 16 1366 (1973).
- 8. C. Cao and E.S. Titi. "Regularity criteria for the three–Dimensional Navier-Stokes equations" Indiana Univ. Math. J., 57 2643 (2008).
- 9. C. Cao and E.S. Titi. "Global regularity criterion for the 3D Navier-Stokes equations involving one entry of the velocity gradient tensor" Archive of Rational
- Mechanics & Analysis, 202 (2011), 919–932.
  10. Q. Chen, S. Chen & G.L. Eyink. "The joint cascade of energy and helicity in three-dimensional turbulence" *Phys. Fluids* 15 361 (2003)
- 11. Q. Chen, S. Chen, G.L. Eyink. and D.D. Holm. "Intermittency in the joint
- cascade of energy and helicity" *Phys. Rev. Lett* **90** 214503 (2003).
  12. H.J.H. Clercx & G.J.F. van Heij "Two-dimensional Navier-Stokes turbulence in bounded domains" *Appl. Mech. Rev.* 62, 020802 1-25 (2009)
  13. P. Contantin and C. Foias. "Navier-Stokes Equations" (The University of
- Chicago Press, 1988)
- 14. C.R. Doering and J.D. Gibbon. "Applied Analysis of the Navier-Stokes Equations" (Cambridge University Press 2001).
- 15. L. Escauriaza, G. Seregin and V. Sverak. "Backward uniqueness for parabolic equations" Arch. Ration. Mech. Anal. 169 147 (2003).
- 16. B. Ettinger and E.S. Titi. "Global existence and uniqueness of weak solutions of 3-D Euler equations with helical symmetry in the absence of vorticity stretching" SIAM, Jour. Math. Anal. 41(1) 269 (2009). 17. U. Frisch Turbulence: the legacy of A.N. Kolmogorov (Cambridge Univ. Press,
- 1995)
- 18. U. Frisch, A. Pomyalov, I. Procaccia and S.S. Ray. "Turbulence in Noninteger Dimensions by Fractal Fourier Decimation" Phys. Rev. Lett. 108 074501 (2012).
- 19. H. Fujita and T. Kato. "On the Navier-Stokes initial value problem" Archive for Rational Mech. and Analysis 16 269-315 (1964).
- 20. T. Grafke, R. Grauer and T. Sideris. "Turbulence properties and global regularity of a modified Navier-Stokes equation" Physica D (submitted) (2012).

- 21. H. Jia and V. Sverak. "Minimal  $L^3$ -initial data for potential Navier-Stokes singularities" arXiv:1201.1592v1 (2012).
- 22. T. Kato and H. Fujita. "On the non-stationary Navier-Stokes system" Rend. Sem. Mat. Univ. Padova 32, 243-260 (1962).
- 23. I. Kukavica and M. Ziane. "One component regularity for the Navier Stokes equations" Nonlinearity 19 453 (2006).
  24. O. Ladyzhenskaya. "The Mathematical Theory of Viscous Incompressible
- Flows" (New York, Gordon and Breach 1969).
- 25. O.A. Ladyzhenskaya. "On unique solvability of three-dimensional Cauchy problem for the Navier-Stokes equations under the axial symmetry" Zap. Nauchn. Sem. LOMI **7** 155 (1968) (In Russian) 26. O.A. Ladyzhenskaya. "The sixth millennium problem: Navier–Stokes equa-
- tions, existence and smoothness" (Russian). Uspekhi Mat. Nauk. 58(2) 45 (2003); translation in Russian Math. Surveys 58(2) 251 (2003).
- 27. A. Mahalov, E. S. Titi, and S. Leibovich. "Invariant helical subspaces for the Navier-Stokes equations" Arch. Rat. Mech. Anal. **112** 193 (1990). 28. P.D. Mininni, A. Pouquet. "Rotating helical turbulence. I. Global evolution
- and spectral behavior" *Phys. Fluids* 22 035105 (2010).
  29. G. Seregin and V. Sverák. "Navier-Stokes equations with lower bounds on the
- pressure" Arch. Rational Mech. Anal. 163 65 (2002).
- 30. J. Serrin. "The initial value problem for the Navier-Stokes equations" Nonlinear Problems (University of Wisconsin Press, Madison, R. E. Langer edition 1963).
- L.M. Smith, J.R. Chasnov, F. Waleffe. "Crossover from two-to three-dimensional turbulence" *Phys. Rev. Lett.* **77**, 2467 (1996).
- 32. F. Waleffe. "The nature of triad interactions in homogeneous turbulence" Phys Fluids A 4 350 (1992).